



Staff Reports for December 2015

Reception and Communication Services Division

Incoming Calls

	Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16	Mar-16	Apr-16	May-16	Jun-16	Jul-16	Aug-16	FYTD Total 2016
Local Lines	16,624	15,445	15,557	16,835									64,461
TALCB LL	947	916	1,033	1,079									3,975
Total Calls	17,571	16,361	16,590	17,914									68,436

Walk Ins

	Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16	Mar-16	Apr-16	May-16	Jun-16	Jul-16	Aug-16	FYTD Total 2016
Licensing	131	108	145	154									538
Education	26	22	24	25									97
Inspector	3	17	10	10									40
Enforcement	6	3	4	10									23
TALCB Lic	3	6	1	1									11
TALCB Enf	3	3	2	1									9
Total	172	159	186	201									718

Emails

	Sep-15	Oct-15	Nov-15	Dec-15	Jan-16	Feb-16	Mar-16	Apr-16	May-16	Jun-16	Jul-16	Aug-16	FYTD Total 2016
Licensing	5,023	4,113	4,058	5,227									18,421
Education	1,066	938	785	1,065									3,854
Inspector	84	50	39	38									211
Enforcement	117	108	125	128									478
TALCB Lic	289	286	280	341									1,196
TALCB Enf	16	15	49	17									97
Total	6,595	5,510	5,336	6,816									24,257

Customer Service Surveys

FY2016	Surveys Received	Responded by Email	Responded by Phone	Anonymous (No Contact Info)
September	14	10	1	3
October	17	9	1	7
November	15	7	3	5
December	21	9	3	9
January				
February				
March				
April				
May				
June				
July				
August				
Grand Total	67	35	8	24

**TEXAS APPRAISER LICENSING AND CERTIFICATION BOARD
ACTIVE CERTIFICATIONS AND LICENSES**

FISCAL YEAR	END OF MONTH	TOTAL				G.R.L. & P		TRAINEE		TOTAL		
		GENERAL	RESIDENTIAL	LICENSE	PROVISIONAL	G.R.L. & P	CHANGE	TRAINEE	CHANGE	TOTAL	CHANGE	
FY-2011	Sep10	2,366	2,486	651	23	5,526	-18	614	67	6,140	49	
	Oct-Dec10 - Totals for October thru December 2010 are not available due to system conversion											
	Jan11	2,361	2,470	626	21	5,478	-48	520	-94	5,998	-142	
	Feb11	2,370	2,472	628	21	5,491	13	534	14	6,025	27	
	Mar11	2,381	2,482	630	22	5,515	24	553	19	6,068	43	
	Apr11	2,379	2,486	629	22	5,516	1	561	8	6,077	9	
	May11	2,368	2,456	596	22	5,442	-74	518	-43	5,960	-117	
	Jun11	2,374	2,458	598	22	5,452	10	528	10	5,980	20	
	Jul11	2,379	2,463	604	22	5,468	16	538	10	6,006	26	
Aug11	2,396	2,476	605	23	5,500	32	549	11	6,049	43		
FY-2012	Sep11	2,403	2,480	606	23	5,512	12	567	18	6,079	30	
	Oct11	2,408	2,486	606	23	5,523	11	574	7	6,097	18	
	Nov11	2,417	2,484	614	23	5,538	15	584	10	6,122	25	
	Dec11	2,369	2,414	543	13	5,339	-199	500	-84	5,839	-283	
	Jan12	2,376	2,412	542	14	5,344	5	520	20	5,864	25	
	Feb12	2,358	2,387	527	13	5,285	-59	498	-22	5,783	-81	
	Mar12	2,364	2,382	522	13	5,281	-4	498	0	5,779	-4	
	Apr12	2,371	2,381	518	13	5,283	2	496	-2	5,779	0	
	May12	2,369	2,380	517	13	5,279	-4	498	2	5,777	-2	
	Jun12	2,375	2,381	513	11	5,280	1	502	4	5,782	5	
Jul12	2,365	2,376	513	10	5,264	-16	512	10	5,776	-6		
Aug12	2,371	2,385	515	10	5,281	17	515	3	5,796	20		
FY-2013	Sep12	2,382	2,388	512	9	5,291	10	534	19	5,825	29	
	Oct12	2,385	2,389	509	8	5,291	0	531	-3	5,822	-3	
	Nov12	2,386	2,387	509	7	5,289	-2	534	3	5,823	1	
	Dec12	2,390	2,381	501	6	5,278	-11	550	16	5,828	5	
	Jan13	2,377	2,380	502	6	5,265	-13	576	26	5,841	13	
	Feb13	2,379	2,377	499	4	5,259	-6	591	15	5,850	9	
	Mar13	2,382	2,374	490	3	5,249	-10	607	16	5,856	6	
	Apr13	2,378	2,373	484	2	5,237	-12	634	27	5,871	15	
	May13	2,369	2,371	482	2	5,224	-13	657	23	5,881	10	
	Jun13	2,368	2,369	480	2	5,219	-5	682	25	5,901	20	
Jul13	2,359	2,367	477	2	5,205	-14	702	20	5,907	6		
Aug13	2,367	2,371	470	2	5,210	5	724	22	5,934	27		
FY-2014	Sep13	2,368	2,375	467	1	5,211	1	741	17	5,952	18	
	Oct13	2,367	2,381	467	1	5,216	5	767	26	5,983	31	
	Nov13	2,371	2,381	467	1	5,220	4	781	14	6,001	18	
	Dec13	2,374	2,380	466	1	5,221	1	792	11	6,013	12	
	Jan14	2,363	2,382	461	1	5,207	-14	786	-6	5,993	-20	
	Feb14	2,365	2,379	457	N/A	5,201	-6	780	-6	5,981	-12	
	Mar14	2,368	2,385	453	N/A	5,206	5	788	8	5,994	13	
	Apr14	2,373	2,393	454	N/A	5,220	14	783	-5	6,003	9	
	May14	2,375	2,399	457	N/A	5,231	11	779	-4	6,010	7	
	Jun14	2,378	2,401	451	N/A	5,230	-1	777	-2	6,007	-3	
Jul14	2,377	2,403	454	N/A	5,234	4	766	-11	6,000	-7		
Aug14	2,386	2,405	453	N/A	5,244	10	760	-6	6,004	4		
FY-2015	Sep14	2,393	2,407	451	N/A	5,251	7	767	7	6,018	14	
	Oct14	2,402	2,418	448	N/A	5,268	17	766	-1	6,034	16	
	Nov14	2,407	2,415	440	N/A	5,262	-6	749	-17	6,011	-23	
	Dec14	2,409	2,431	442	N/A	5,282	20	756	7	6,038	27	
	Jan15	2,405	2,437	446	N/A	5,288	6	767	11	6,055	17	
	Feb15	2,417	2,437	442	N/A	5,296	8	760	-7	6,056	1	
	Mar15	2,423	2,445	444	N/A	5,312	16	761	1	6,073	17	
	Apr15	2,408	2,451	442	N/A	5,301	-11	763	2	6,064	-9	
	May15	2,404	2,444	436	N/A	5,284	-17	761	-2	6,045	-19	
	Jun15	2,413	2,436	432	N/A	5,281	-3	773	12	6,054	9	
Jul15	2,409	2,424	432	N/A	5,265	-16	774	1	6,039	-15		
Aug15	2,408	2,415	434	N/A	5,257	-8	779	5	6,036	-3		
FY-2016	Sep15	2,406	2,417	428	N/A	5,251	-6	786	7	6,037	1	
	Oct15	2,414	2,418	431	N/A	5,263	12	791	5	6,054	17	
	Nov15	2,417	2,420	430	N/A	5,267	4	793	2	6,060	6	
	Dec15	2,419	2,425	430	N/A	5,274	7	795	2	6,069	9	

(December 2015: Temporary Out of State Appraisers = 1,034; Inactive Appraisers = 149)

APPRAISAL MANAGEMENT COMPANY REGISTRATIONS
December 2015

	Month	Paper Apps. Received	Online Apps. Received	Total Apps. Received	Total AMC Registrations Issued	Total AMC Renewals Issued
FY-2012	Mar-12	18	4	22	0	
	Apr-12	16	5	21	0	
	May-12	25	16	41	44	
	Jun-12	53	14	67	65	
	Jul-12	13	6	19	53	
	Aug-12	5	1	6	7	
FY- 2013	Sep-12	0	1	1	3	
	Oct-12	0	3	3	5	
	Nov-12	2	1	3	2	
	Dec-12	1	2	3	4	
	Jan-13	0	0	0	2	
	Feb-13	1	0	1	0	
	Mar-13	0	0	0	0	
	Apr-13	1	1	2	0	
	May-13	0	0	0	1	
	Jun-13	0	1	1	4	
	Jul-13	0	1	1	1	
	Aug-13	1	1	2	1	
FY- 2014	Sep-13	0	3	3	2	
	Oct-13	0	1	1	2	
	Nov-13	0	0	0	0	
	Dec-13	0	1	1	0	0
	Jan-14	0	0	0	1	1
	Feb-14	0	0	0	0	5
	Mar-14	0	2	2	2	9
	Apr-14	1	0	1	2	18
	May-14	1	1	2	0	28
	Jun-14	1	1	2	4	38
	Jul-14	0	0	0	0	24
	Aug-14	0	0	0	0	15
FY- 2015	Sep-14	1	1	2	1	4
	Oct-14	0	0	0	1	2
	Nov-14	1	1	2	2	2
	Dec-14	3	1	4	1	0
	Jan-15	3	0	3	1	1
	Feb-15	0	0	0	5	1
	Mar-15	1	0	1	0	0
	Apr-15	0	1	1	0	1
	May-15	0	0	0	1	0
	Jun-15	0	0	0	1	0
	Jul-15	1	0	1	1	6
	Aug-15	1	1	2	1	0
FY-2016	Sep-15	1	0	1	0	1
	Oct-15	0	0	0	2	2
	Nov-15	2	0	2	1	0
	Dec-15	1	0	1	0	0
TOTALS		154	71	225	223	158

Registrations Surrendered as of December 2015 -13
 Registrations Revoked as of December 2015 -3
 Registrations Expired > 6 months as of December 2015 -26

Education & Licensing Services Division - TALCB

Fiscal Year Comparison

Fiscal Year - 2016

DECEMBER

	This YTD 9/15 - 12/15	Last YTD 9/14 - 12/14	Change Count	Change Percent
<i>Original Applications Received</i>				
Certified General Applications	34	50	-16	-32.00%
Certified Residential Applications	29	75	-46	-61.33%
State Licensed Applications	25	33	-8	-24.24%
Appraiser Trainee Applications	96	89	7	7.87%
Non-Residential Temporary Applications	88	79	9	11.39%
<i>Total Original Applications</i>	272	326	-54	-16.56%
<i>Licenses Issued from Original Applications</i>				
Certified General Licenses	47	52	-5	-9.62%
Certified Residential Licenses	55	61	-6	-9.84%
State Licensed	20	24	-4	-16.67%
Appraiser Trainee Licenses	85	73	12	16.44%
Non-Residential Temporary Licenses	88	77	11	14.29%
<i>Total Licenses from Original Applications</i>	295	287	8	2.79%
<i>Licenses Issued from Renewal Applications</i>				
Certified General Renewals	367	399	-32	-8.02%
Certified Residential Renewals	436	363	73	20.11%
State Licensed Renewals	82	96	-14	-14.58%
Appraiser Trainee Renewals	94	167	-73	-43.71%
<i>Total Renewal Licenses Issued</i>	979	1,025	-46	-4.49%
<i>Licenses Issued from Reinstatement Applications</i>				
Certified General Reinstatements	1	9	-8	-88.89%
Certified Residential Reinstatements	2	4	-2	-50.00%
State Licensed Reinstatements	1	2	-1	-50.00%
Appraiser Trainee Reinstatements	6	5	1	20.00%
<i>Total Reinstatement Licenses Issued</i>	10	20	-10	-50.00%

Examination Activity - Fiscal Year 2015-2016

YEAR-TO-DATE RESULTS: SEPTEMBER 2015 thru December 2015				Overall Pass Rate	Overall Failure Rate
	<u>State Licensed</u>	<u>Certified Residential</u>	<u>Certified General</u>		
Examinations Passed	15	17	15	47	
Examinations Failed	15	21	9		45
Examinations Taken	30	38	24	92	92
Examination Pass Rate (%)	50.00%	44.74%	62.50%	51.09%	48.91%

All examination types	
Total first time candidates:	49
Total repeat candidates:	43
Total pass:	47
Total fail:	45
Total examinations taken:	92

Examination Activity - Fiscal Year 2015-2016

MONTHLY RESULTS: December 2015				Overall Pass Rate	Overall Failure Rate
	<u>State Licensed</u>	<u>Certified Residential</u>	<u>Certified General</u>		
Examinations Passed	6	3	5	14	
Examinations Failed	5	2	5		12
Examinations Taken	11	5	10	26	26
Examination Pass Rate (%)	54.55%	60.00%	50.00%	53.85%	46.15%

All examination types	
Total first time candidates:	13
Total repeat candidates:	13
Total pass:	14
Total fail:	12
Total examinations taken:	26

EXAMINATION ACTIVITY

FISCAL YEAR-TO-DATE COMPARISON DECEMBER

	<u>December 2015</u> <u>Pass Rate</u>	<u>December 2014</u> <u>Pass Rate</u>
Certified General Appraiser	62.5%	60.7%
Certified Residential Appraiser	44.7%	61.1%
Licensed Appraiser	50.0%	41.8%
Overall Appraiser Pass Rate	51.0%	55.9%

Information Technology Services Division Electronic Information Outlet Statistics

December 2015

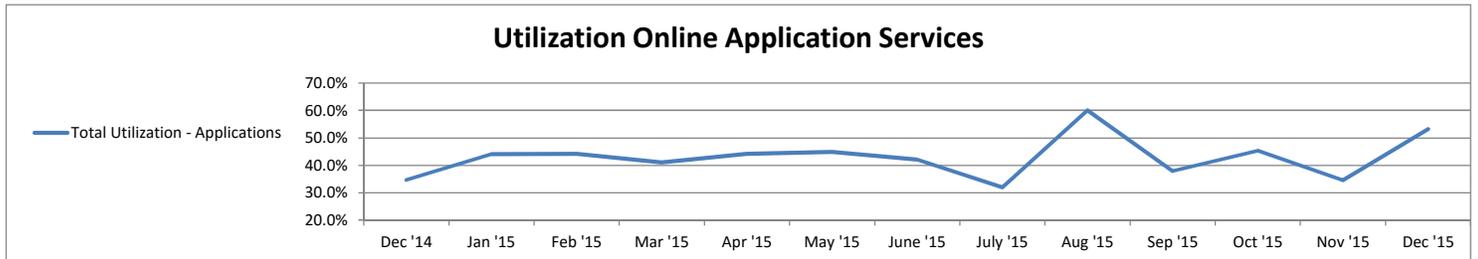
Website	Current Month	FYTD Total	Prior FYTD Total
Total Pages Viewed	1,018,533	3,504,034	506,028
Total Monthly Unique Visitors	28,558	107,380	35,790

Online Transactions	Total	Online	Online Percent	FYTD Online Percent	Prior FYTD Percent
Applications	47	25	53.2%	44.0%	35.7%
AMC	0	0	0.0%	33.3%	40.0%
Certified General Appraiser	10	1	10.0%	8.1%	14.5%
Certified Residential Appraiser	12	3	25.0%	23.7%	24.5%
State Licensed Appraiser	4	3	75.0%	36.4%	45.5%
Appraiser Trainee	21	18	85.7%	72.7%	56.6%
Renewals	320	299	93.4%	91.7%	86.8%
AMC	0	0	0.0%	100.0%	75.0%
Certified General Appraiser	145	134	92.4%	90.9%	89.3%
Certified Residential Appraiser	133	126	94.7%	93.0%	92.8%
State Licensed Appraiser	25	23	92.0%	87.7%	66.2%
Appraiser Trainee	17	16	94.1%	91.1%	68.5%
AMC Panel Transactions	705	705	100.0%	100.0%	100.0%
Additions	573	573	100.0%	100.0%	100.0%
Removals	132	132	100.0%	100.0%	100.0%

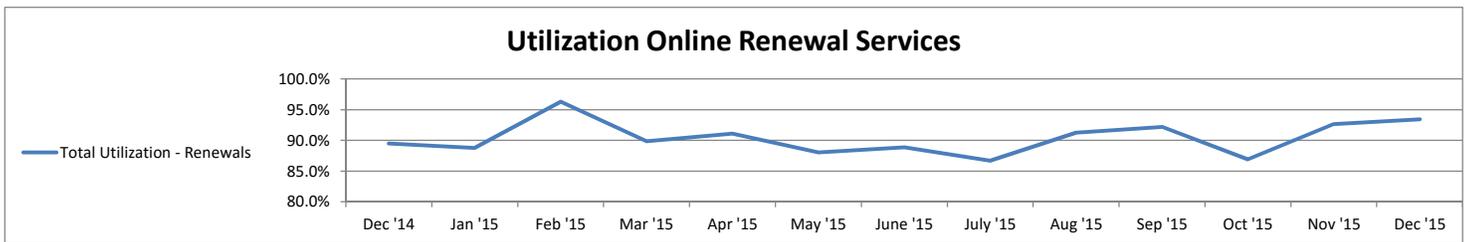
Information Technology Services Division Electronic Information Outlet Statistics

December 2015

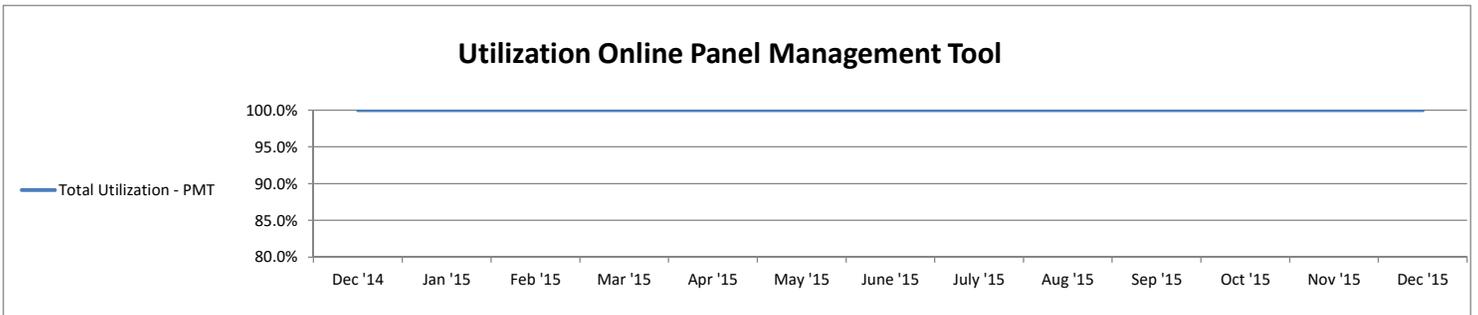
Applications	Dec '14	Jan '15	Feb '15	Mar '15	Apr '15	May '15	June '15	July '15	Aug '15	Sep '15	Oct '15	Nov '15	Dec '15
AMC	0.0%	100.0%	60.0%	0.0%	0.0%	0.0%	100.0%	100.0%	0.0%	0.0%	50.0%	0.0%	0.0%
Certified General Appraiser	9.1%	11.1%	20.0%	10.0%	0.0%	10.0%	33.3%	6.3%	66.7%	0.0%	8.3%	14.3%	10.0%
Certified Residential Appraiser	22.2%	18.8%	14.3%	16.7%	26.7%	50.0%	0.0%	0.0%	0.0%	11.1%	40.0%	14.3%	25.0%
State Licensed Appraiser	50.0%	66.7%	0.0%	33.3%	33.3%	0.0%	50.0%	50.0%	71.4%		20.0%	0.0%	75.0%
Appraiser Trainee	62.5%	72.2%	80.0%	85.7%	76.9%	88.9%	64.7%	64.7%	64.7%	65.0%	70.8%	66.7%	85.7%
Total Utilization - Applications	34.6%	44.0%	44.2%	41.0%	44.1%	44.8%	42.1%	31.9%	60.0%	37.8%	45.3%	34.5%	53.2%



Renewals	Dec '14	Jan '15	Feb '15	Mar '15	Apr '15	May '15	June '15	July '15	Aug '15	Sep '15	Oct '15	Nov '15	Dec '15
AMC		100.0%	100.0%	0.0%	100.0%	0.0%		83.3%	0.0%	100.0%	100.0%		0.0%
Certified General Appraiser	89.6%	91.4%	93.3%	86.9%	89.1%	81.4%	86.7%	87.2%	90.1%	87.9%	87.7%	93.0%	92.4%
Certified Residential Appraiser	93.6%	97.3%	100.0%	96.0%	93.6%	95.6%	91.7%	93.0%	93.2%	95.2%	86.6%	94.3%	94.7%
State Licensed Appraiser	70.6%	50.0%	88.9%	82.6%	83.3%	100.0%	92.3%	83.3%	90.0%	93.8%	75.0%	83.3%	92.0%
Appraiser Trainee	81.8%	84.6%	100.0%	88.9%	100.0%	87.5%	83.3%	84.6%	85.7%	85.7%	93.3%	83.3%	94.1%
Total Utilization - Renewals	89.5%	88.8%	96.3%	89.8%	91.1%	88.0%	88.9%	86.7%	91.3%	92.2%	86.9%	92.7%	93.4%



PMT	Dec '14	Jan '15	Feb '15	Mar '15	Apr '15	May '15	June '15	July '15	Aug '15	Sep '15	Oct '15	Nov '15	Dec '15
AMC Panel Invitations	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
AMC Panel Removals	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Total Utilization - PMT	100.0%												



Staff & Support Services Division

TALCB Budget Status Report

December 2015

8/12 = 66.7%

Expenditure Category	Budget FY2016	Expenditures	Balance	Budget % Remaining	Comments
Salaries & Wages	\$875,842	\$260,389	\$615,453	70.3%	
Employee Benefits	277,440	84,773	192,667	69.4%	
Retiree Insurance	39,600	8,900	30,700	77.5%	
Other Personnel Costs	25,220	5,390	19,830	78.6%	
Professional Fees & Services	77,550	22,576	54,974	70.9%	
Consumables	7,500	1,798	5,702	76.0%	
Utilities	1,740	647	1,093	62.8%	
Travel	30,000	6,754	23,246	77.5%	
Office Rent	37,625	26,479	11,146	29.6%	Office rent paid for the year.
Equipment Rental	14,138	1,019	13,119	92.8%	
Registration & Membership	16,125	2,755	13,370	82.9%	
Maintenance & Repairs	12,267	11,960	307	2.5%	Versa annual maintenance
Reproduction & Printing	1,600	21	1,579	98.7%	
Contract Services	29,540	1,270	28,270	95.7%	
Postage	6,350	1,900	4,450	70.1%	
Supplies & Equipment	6,500	767	5,733	88.2%	
Communication Services	8,246	1,890	6,356	77.1%	
Other Operating Expenses	4,342	777	3,565	82.1%	
Subtotal -Operations Expenditures	1,471,625	440,065	1,031,560	70.1%	
DPS Criminal History Background Checks	3,000	760	2,240	74.7%	
Statewide Cost Allocation Plan (SWCAP)	45,000	10,258	34,742	77.2%	
Contribution to General Revenue	30,000	10,000	20,000	66.7%	% allocated monthly but pmt not due until 8/31/16
Subtotal - Nonoperational Expenditures	78,000	21,018	56,982	73.1%	
Total Expenditures	\$1,549,625	\$461,083	\$1,088,542	70.2%	

Revenue	FY2016 Projected	Revenue Collected	Revenue Remaining to be Collected	Revenue % Remaining to be Collected	Comments
License Fees	\$1,084,345	\$359,855	\$724,490	66.8%	
AMCs	749,580	49,950	\$699,630	93.3%	Majority of renewals occur in last quarter
Administrative Penalties	45,000	33,600	\$11,400	25.3%	
Other Miscellaneous Revenue	26,280	6,570	\$19,710	75.0%	Exam Admin Fees/NSF fees/Misc/Public Info fees
Total Revenue	\$1,905,205	\$449,975	\$1,455,230	76.4%	

	FY16 Carry Forward	Allocated Amount	Remaining to be Allocated	Carry Forward % Remaining	This is estimated AMC revenues collected in FY16 that will be set aside for FY17 budget.
AMC Revenue Carry Forward from FY15	(\$290,054)	(\$96,684.67)	(\$193,369)	66.7%	Pro-rated thru December

Revenue Over/(Under) Expenditures & Transfers	\$65,526	(\$107,793)			Includes AMC Carry Forward
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Staff Services Division

**Tx Appraiser Licensing & Certification Board Operating Account No. 3056 Investments
Current Securities**

December 2015

Purchase Date	Par Value	Purchase Price	Beginning Market Value	Additions Changes	Ending Market Value	Accrued Interest	Description	Maturity Date
12/04/2014	100,000.00	100,168.26	100,000.00	(100,000.00)	0.00		U.S. T-Notes, 0.25%	12/15/2015
12/24/2015	150,000.00	149,911.72	0.00	149,766.00	149,766.00	43.55	U.S. T-Notes, 0.63%	12/15/2016
12/04/2014	100,000.00	100,484.97	100,016.00	(24.00)	99,992.00	23.22	U.S. T-Notes, 0.50%	06/15/2016
07/16/2015	100,000.00	100,839.34	100,184.00	(59.00)	100,125.00	259.62	U.S. T-Notes, 0.88%	09/15/2016
Totals	\$ 450,000.00	\$ 451,404.29	\$ 300,200.00	\$ 49,683.00	\$ 349,883.00	\$ 326.39		

Receipts:

Treasury Note Earnings

Current Month

375.00

FY2016

Cumulative Total

812.50

Investment Compliance: These investments have been made in compliance with the Board's Investment Policy.

Melissa Huerta

Melissa Huerta, Investment Officer

Barbara C Kolb

Barbara Kolb, Alternate Investment Officer

TALCB Standards & Enforcement Services

CASE STATUS REPORT as of DEC 31, 2015

of Cases Received

Case Classification	FY2014	FY2015	15-Sep	15-Oct	15-Nov	15-Dec	16-Jan	16-Feb	16-Mar	16-Apr	16-May	16-Jun	16-Jul	16-Aug	FYTD
Complaint Category:															
AMCs	12	1	0	0	0	0									0
Dodd Frank	16	22	0	0	0	4									4
Ethics	5	4	0	0	0	0									0
USPAP	114	149	22	12	10	6									50
Other	4	11	1	1	0	0									2
No Jurisdiction	0	4	2	2	0	0									4
	151	191	25	15	10	10								SUB:	60
Experience Audits	155	161	19	14	11	6									50
RFAs & Covert Complaints	3	13	0	0	2	0									2
MCD Inquiries	4	3	0	0	0	1									1
	162	177	19	14	13	7								SUB:	53
Opened During FY Year Month	313	368	44	29	23	17									113

of Cases Closed

Case Disposition	FY2014	FY2015	15-Sep	15-Oct	15-Nov	15-Dec	16-Jan	16-Feb	16-Mar	16-Apr	16-May	16-Jun	16-Jul	16-Aug	FYTD
Surrendered	4	5	0	0	4	0									4
Agreed Final Order / Final Order	44	42	0	0	20	0									20
Other Disciplinary Action	3	5	0	0	0	0									0
Insufficient Evidence	0	2	0	0	0	0									0
Dismissed	110	98	12	10	12	9									43
No Jurisdiction	0	5	1	3	2	0									6
	161	157	13	13	38	9								SUB:	73
Experience Audits	131	171	8	12	10	19									49
RFAs	14	10	0	0	0	1									1
MCD Inquiries	3	5	0	0	0	0									0
	148	186	8	12	10	20								SUB:	50
Closed During FY Year Month	309	343	21	25	48	29									123

Total Cases Open as of 12/31/15: **103**

TALCB Standards & Enforcement Services

AMC CASE STATUS REPORT as of DEC 31, 2015

of Cases Received

Case Classification	FY2014	FY2015	15-Sep	15-Oct	15-Nov	15-Dec	16-Jan	16-Feb	16-Mar	16-Apr	16-May	16-Jun	16-Jul	16-Aug	FYTD
Complaint Category:															
AMC Compliance	0	0	0	0	0	0									0
Dodd Frank	0	0	0	0	0	0									0
Ethics	1	0	0	0	0	0									0
USPAP	9	7	0	0	0	0									0
Other	1	0	0	0	0	0									0
No Jurisdiction	0	1	0	1	0	0									1
	11	8	0	1	0	0								SUB:	1
RFAs & Covert Complaints	0	0	0	0	0	0									0
Opened During FY Year Month	11	8	0	1	0	0									1

of Cases Closed

Case Disposition	FY2014	FY2015	15-Sep	15-Oct	15-Nov	15-Dec	16-Jan	16-Feb	16-Mar	16-Apr	16-May	16-Jun	16-Jul	16-Aug	FYTD
Surrendered	0	0	0	0	0	0									0
Agreed Final Order	0	0	0	0	0	0									0
Other Disciplinary Action	2	0	0	0	0	0									0
Insufficient Evidence	0	0	0	0	0	0									0
Dismissed	7	6	1	1	1	0									3
No Jurisdiction	0	1	0	1	0	0									1
	9	7	1	2	1	0								SUB:	4
RFAs & Covert Complaints	0	0	0	0	0	0									0
Closed During FY Year Month	9	7	1	2	1	0									4

Total Cases Open as of 12/31/15: 0